

# Appendix A

# **RJM CAPITAL PENSION PLAN**

In Re: Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Refund Scheme Litigation

Equities Transactions								Stock Loan Transactions				
Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker	Trade Date	Settlement Date	Price	Quantity	Stock Loan Counterparty
RJM CAPITAL PENSION PLAN	TDC A/S	3,250,000	03/07/13	03/13/13	45.7393	3,250,000	FGC Securities LLC	03/12/13	03/13/13	45.7393	3,250,000	Colbrook Ltd
RJM CAPITAL PENSION PLAN	DSV A/S	1,200,000	03/21/13	03/27/13	144.7836	1,200,000	FGC Securities LLC	03/26/13	03/27/13	144.7836	1,200,000	Amalthea Enterprises Ltd
RJM CAPITAL PENSION PLAN	Carlsberg A/S - B	640,000	03/21/13	03/27/13	586.5422	640,000	Novus Capital Markets Ltd	03/26/13	03/27/13	586.5422	640,000	Amalthea Enterprises Ltd
RJM CAPITAL PENSION PLAN	Novo Nordisk A/S - B	2,400,000	03/20/13	03/26/13	966.5860	2,400,000	FGC Securities LLC	03/25/13	03/26/13	966.5860	2,400,000	Colbrook Ltd
RJM CAPITAL PENSION PLAN	FLSmidth & Co A/S	285,000	04/05/13	04/11/13	349.1567	285,000	Novus Capital Markets Ltd	04/10/13	04/11/13	349.1567	285,000	Aquila (Cayman) Ltd
RJM CAPITAL PENSION PLAN	A.P. Møller Mærsk A/S - A	4,200	04/11/13	04/17/13	41,950.2873	4,200	FGC Securities LLC	04/16/13	04/17/13	41,950.2873	4,200	Amalthea Enterprises Ltd
RJM CAPITAL PENSION PLAN	A.P. Møller Mærsk A/S - B	10,400	04/11/13	04/17/13	43,680.2893	10,400	FGC Securities LLC	04/16/13	04/17/13	43,680.2893	10,400	Colbrook Ltd
RJM CAPITAL PENSION PLAN	Tryg A/S	160,000	04/18/13	04/24/13	480.2812	160,000	FGC Securities LLC	04/23/13	04/24/13	480.2812	160,000	Colbrook Ltd
RJM CAPITAL PENSION PLAN	Lundbeck A/S	1,060,000	03/21/13	03/27/13	103.2481	1,060,000	Novus Capital Markets Ltd	03/26/13	03/27/13	103.2481	1,060,000	Aquila (Cayman) Ltd
RJM CAPITAL PENSION PLAN	TDC A/S	3,850,000	08/07/13	08/13/13	47.3650	3,850,000	Novus Capital Markets Ltd	08/12/13	08/13/13	47.3650	3,850,000	Colbrook Ltd
RJM CAPITAL PENSION PLAN	Chr. Hansen Holding A/S	780,157	11/26/13	12/02/13	204.0000	12,157	FGC Securities LLC	11/29/13	12/02/13	204.0000	12,157	Aquila (Cayman) Ltd
					203.3100	768,000				203.3100	768,000	
RJM CAPITAL PENSION PLAN	Coloplast A/S - B	924,000	12/05/13	12/11/13	351.3763	924,000	Novus Capital Markets Ltd	12/10/13	12/11/13	351.3763	924,000	Aquila (Cayman) Ltd

## **BASALT VENTURES LLC ROTH 401(K) PLAN**

In Re: Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Refund Scheme Litigation

Equities Transactions								Stock Loan Transactions				
Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker	Trade Date	Settlement Date	Price	Quantity	Stock Loan Counterparty
BASALT VENTURES LLC ROTH 401(K) PLAN	TDC A/S	2,876,867	08/07/14	08/13/14	51.3500	2,876,867	Bastion Capital London Ltd	08/12/14	08/13/14	51.3500	2,876,867	Gnosis Capital Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Novozymes A/S - B	777,153	02/25/15	03/02/15	322.5000	777,153	Sunrise Brokers	02/27/15	03/02/15	322.5000	777,153	Trance Services Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	TDC A/S	3,283,965	03/05/15	03/10/15	54.0000	3,283,965	Bastion Capital London Ltd	03/09/15	03/10/15	54.0000	3,283,965	Diverse Vision Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	DSV A/S	765,908	03/12/15	03/17/15	219.2000	765,908	The TJM Partnership PLC	03/16/15	03/17/15	219.2000	765,908	Neoteric Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Danske Bank A/S	3,271,664	03/18/15	03/23/15	175.3000	3,271,664	Sunrise Brokers	03/20/15	03/23/15	175.3000	3,271,664	Prince Solutions Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Pandora A/S	450,631	03/18/15	03/23/15	614.5000	450,631	Sunrise Brokers	03/20/15	03/23/15	614.5000	450,631	Prince Solutions Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Novo Nordisk A/S - B	6,448,211	03/19/15	03/24/15	341.9000	6,448,211	The TJM Partnership PLC	03/23/15	03/24/15	341.9000	6,448,211	Treehurst Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	GN Store Nord A/S	605,292	03/19/15	03/24/15	154.2000	605,292	The TJM Partnership PLC	03/23/15	03/24/15	154.2000	605,292	Treehurst Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Tryg A/S	35,209	03/25/15	03/30/15	868.5000	35,209	Bastion Capital London Ltd	03/27/15	03/30/15	868.5000	35,209	Diverse Vision Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Carlsberg A/S - B	178,044	03/26/15	03/31/15	571.5000	178,044	Sunrise Brokers	03/30/15	03/31/15	571.5000	178,044	Prince Solutions Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	FLSmidth & Co A/S	80,009	03/26/15	03/31/15	314.0000	80,009	The TJM Partnership PLC	03/30/15	03/31/15	314.0000	80,009	Treehurst Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Vestas Wind Systems A/S	373,474	03/30/15	04/07/15	290.2000	373,474	Sunrise Brokers	04/01/15	04/07/15	290.2000	373,474	Trance Services Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Møller Mærsk A/S - A	7,873	03/30/15	04/07/15	15,810.0000	7,873	Sunrise Brokers	04/01/15	04/07/15	15,810.0000	7,873	Prince Solutions Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Møller Mærsk A/S - B	7,877	03/30/15	04/07/15	16,410.0000	7,877	Sunrise Brokers	04/01/15	04/07/15	16,410.0000	7,877	Trance Services Ltd
BASALT VENTURES LLC ROTH 401(K) PLAN	Coloplast A/S - B	294,679	05/06/15	05/11/15	510.0000	294,679	The TJM Partnership PLC	05/08/15	05/11/15	510.0000	294,679	Colbrook Ltd

# **ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN**

In Re: Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Refund Scheme Litigation

Equities Transactions								Stock Loan Transactions				
Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker	Trade Date	Settlement Date	Price	Quantity	Stock Loan Counterparty
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	TDC A/S	2,803,027	08/07/14	08/13/14	51.3500	2,803,027	Bastion Capital London Ltd	08/12/14	08/13/14	51.3500	2,803,027	Gnosis Capital Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Novozymes A/S - B	681,437	02/25/15	03/02/15	322.5000	681,437	The TJM Partnership PLC	02/27/15	03/02/15	322.5000	681,437	Neoteric Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	TDC A/S	2,572,455	03/05/15	03/10/15	54.0000	2,572,455	The TJM Partnership PLC	03/09/15	03/10/15	54.0000	2,572,455	Prince Solutions Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	DSV A/S	633,514	03/12/15	03/17/15	219.2000	633,514	Bastion Capital London Ltd	03/16/15	03/17/15	219.2000	633,514	Diverse Vision Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Danske Bank A/S	3,217,471	03/18/15	03/23/15	175.3000	3,217,471	Sunrise Brokers	03/20/15	03/23/15	175.3000	3,217,471	Diverse Vision Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Pandora A/S	443,167	03/18/15	03/23/15	614.5000	443,167	Sunrise Brokers	03/20/15	03/23/15	614.5000	443,167	Diverse Vision Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	GN Store Nord A/S	591,012	03/19/15	03/24/15	154.2000	591,012	The TJM Partnership PLC	03/23/15	03/24/15	154.2000	591,012	Treehurst Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Novo Nordisk A/S - B	6,296,082	03/19/15	03/24/15	341.9000	6,296,082	The TJM Partnership PLC	03/23/15	03/24/15	341.9000	6,296,082	Treehurst Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Tryg A/S	174,450	03/25/15	03/30/15	868.5000	174,450	Sunrise Brokers	03/27/15	03/30/15	868.5000	174,450	Colbrook Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	FLSmidth & Co A/S	399,512	03/26/15	03/31/15	314.0000	399,512	Bastion Capital London Ltd	03/30/15	03/31/15	314.0000	399,512	Diverse Vision Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Carlsberg A/S - B	887,986	03/26/15	03/31/15	571.5000	887,986	The TJM Partnership PLC	03/30/15	03/31/15	571.5000	887,986	Colbrook Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Vestas Wind Systems A/S	1,878,951	03/30/15	04/07/15	290.2000	1,878,951	Bastion Capital London Ltd	04/01/15	04/07/15	290.2000	1,878,951	Treehurst Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	A.P. Møller Mærsk A/S - A	39,785	03/30/15	04/07/15	15,810.0000	39,785	Bastion Capital London Ltd	04/01/15	04/07/15	15,810.0000	39,785	Principle Markets Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	A.P. Møller Mærsk A/S - B	40,179	03/30/15	04/07/15	16,410.0000	40,179	Sunrise Brokers	04/01/15	04/07/15	16,410.0000	40,179	Prince Solutions Ltd
ROADCRAFT TECHNOLOGIES LLC ROTH 401(K) PLAN	Coloplast A/S - B	1,473,168	05/06/15	05/11/15	510.0000	1,473,168	Sunrise Brokers	05/08/15	05/11/15	510.0000	1,473,168	Equal Services Ltd

## **THE FWC CAPITAL LLC PENSION PLAN**



In Re: Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Refund Scheme Litigation

Equities Transactions								Stock Loan Transactions				
Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker	Trade Date	Settlement Date	Price	Quantity	Stock Loan Counterparty
THE FWC CAPITAL LLC PENSION PLAN	Chr. Hansen Holding A/S	846,864	11/27/14	12/02/14	258.9000	846,864	Bastion Capital London Ltd	12/01/14	12/02/14	258.9000	846,864	Gnosis Capital Ltd
THE FWC CAPITAL LLC PENSION PLAN	Coloplast A/S - B	1,074,214	12/04/14	12/09/14	527.0000	1,074,214	Bastion Capital London Ltd	12/08/14	12/09/14	527.0000	1,074,214	Gnosis Capital Ltd
THE FWC CAPITAL LLC PENSION PLAN	Novozymes A/S - B	749,199	02/25/15	03/02/15	322.5000	749,199	Bastion Capital London Ltd	02/27/15	03/02/15	322.5000	749,199	Colbrook Ltd
THE FWC CAPITAL LLC PENSION PLAN	TDC A/S	2,542,375	03/05/15	03/10/15	54.0000	2,542,375	The TJM Partnership PLC	03/09/15	03/10/15	54.0000	2,542,375	Neoteric Ltd
THE FWC CAPITAL LLC PENSION PLAN	DSV A/S	617,170	03/12/15	03/17/15	219.2000	617,170	Sunrise Brokers	03/16/15	03/17/15	219.2000	617,170	Prince Solutions Ltd
THE FWC CAPITAL LLC PENSION PLAN	Danske Bank A/S	3,192,314	03/18/15	03/23/15	175.3000	3,192,314	Sunrise Brokers	03/20/15	03/23/15	175.3000	3,192,314	Prince Solutions Ltd
THE FWC CAPITAL LLC PENSION PLAN	Pandora A/S	439,702	03/18/15	03/23/15	614.5000	439,702	Sunrise Brokers	03/20/15	03/23/15	614.5000	439,702	Prince Solutions Ltd
THE FWC CAPITAL LLC PENSION PLAN	Novo Nordisk A/S - B	6,939,066	03/19/15	03/24/15	341.9000	6,939,066	Sunrise Brokers	03/23/15	03/24/15	341.9000	6,939,066	Equal Services Ltd
THE FWC CAPITAL LLC PENSION PLAN	GN Store Nord A/S	651,369	03/19/15	03/24/15	154.2000	651,369	Sunrise Brokers	03/23/15	03/24/15	154.2000	651,369	Equal Services Ltd
THE FWC CAPITAL LLC PENSION PLAN	Tryg A/S	174,983	03/25/15	03/30/15	868.5000	174,983	Sunrise Brokers	03/27/15	03/30/15	868.5000	174,983	Neoteric Ltd
THE FWC CAPITAL LLC PENSION PLAN	Carlsberg A/S - B	903,635	03/26/15	03/31/15	571.5000	903,635	The TJM Partnership PLC	03/30/15	03/31/15	571.5000	903,635	Diverse Vision Ltd
THE FWC CAPITAL LLC PENSION PLAN	FLSmidth & Co A/S	406,796	03/26/15	03/31/15	314.0000	406,796	The TJM Partnership PLC	03/30/15	03/31/15	314.0000	406,796	Diverse Vision Ltd
THE FWC CAPITAL LLC PENSION PLAN	A.P. Møller Mærsk A/S - A	39,897	03/30/15	04/07/15	15,810.0000	39,897	Bastion Capital London Ltd	04/01/15	04/07/15	15,810.0000	39,897	Colbrook Ltd
THE FWC CAPITAL LLC PENSION PLAN	Vestas Wind Systems A/S	1,885,245	03/30/15	04/07/15	290.2000	1,885,245	Sunrise Brokers	04/01/15	04/07/15	290.2000	1,885,245	Equal Services Ltd
THE FWC CAPITAL LLC PENSION PLAN	A.P. Møller Mærsk A/S - B	40,288	03/30/15	04/07/15	16,410.0000	40,288	Sunrise Brokers	04/01/15	04/07/15	16,410.0000	40,288	Equal Services Ltd
THE FWC CAPITAL LLC PENSION PLAN	Coloplast A/S - B	1,470,191	05/06/15	05/11/15	510.0000	1,470,191	Bastion Capital London Ltd	05/08/15	05/11/15	510.0000	1,470,191	Trance Services Ltd

## **THE PROPER PACIFIC LLC 401K PLAN**

In Re: Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Refund Scheme Litigation

Equities Transactions								Stock Loan Transactions				
Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker	Trade Date	Settlement Date	Price	Quantity	Stock Loan Counterparty
THE PROPER PACIFIC LLC 401K PLAN	Chr. Hansen Holding A/S	839,500	11/27/14	12/02/14	258.9000	839,500	Bastion Capital London Ltd	12/01/14	12/02/14	258.9000	839,500	Gnosis Capital Ltd
THE PROPER PACIFIC LLC 401K PLAN	Coloplast A/S - B	930,208	12/04/14	12/09/14	527.0000	930,208	Bastion Capital London Ltd	12/08/14	12/09/14	527.0000	930,208	Gnosis Capital Ltd
THE PROPER PACIFIC LLC 401K PLAN	Novozymes A/S - B	683,931	02/25/15	03/02/15	322.5000	683,931	Sunrise Brokers	02/27/15	03/02/15	322.5000	683,931	Prince Solutions Ltd
THE PROPER PACIFIC LLC 401K PLAN	TDC A/S	3,293,727	03/05/15	03/10/15	54.0000	3,293,727	The TJM Partnership PLC	03/09/15	03/10/15	54.0000	3,293,727	Trance Services Ltd
THE PROPER PACIFIC LLC 401K PLAN	DSV A/S	692,422	03/12/15	03/17/15	219.2000	692,422	The TJM Partnership PLC	03/16/15	03/17/15	219.2000	692,422	Trance Services Ltd
THE PROPER PACIFIC LLC 401K PLAN	Pandora A/S	400,364	03/18/15	03/23/15	614.5000	400,364	The TJM Partnership PLC	03/20/15	03/23/15	614.5000	400,364	Prince Solutions Ltd
THE PROPER PACIFIC LLC 401K PLAN	Danske Bank A/S	2,906,712	03/18/15	03/23/15	175.3000	2,906,712	The TJM Partnership PLC	03/20/15	03/23/15	175.3000	2,906,712	Prince Solutions Ltd
THE PROPER PACIFIC LLC 401K PLAN	GN Store Nord A/S	622,804	03/19/15	03/24/15	154.2000	622,804	The TJM Partnership PLC	03/23/15	03/24/15	154.2000	622,804	Trance Services Ltd
THE PROPER PACIFIC LLC 401K PLAN	Novo Nordisk A/S - B	6,634,763	03/19/15	03/24/15	341.9000	6,634,763	The TJM Partnership PLC	03/23/15	03/24/15	341.9000	6,634,763	Trance Services Ltd
THE PROPER PACIFIC LLC 401K PLAN	Tryg A/S	34,813	03/25/15	03/30/15	868.5000	34,813	Sunrise Brokers	03/27/15	03/30/15	868.5000	34,813	Diverse Vision Ltd
THE PROPER PACIFIC LLC 401K PLAN	FLSmidth & Co A/S	81,037	03/26/15	03/31/15	314.0000	81,037	Sunrise Brokers	03/30/15	03/31/15	314.0000	81,037	Treehurst Ltd
THE PROPER PACIFIC LLC 401K PLAN	Carlsberg A/S - B	180,313	03/26/15	03/31/15	571.5000	180,313	Sunrise Brokers	03/30/15	03/31/15	571.5000	180,313	Treehurst Ltd
THE PROPER PACIFIC LLC 401K PLAN	Vestas Wind Systems A/S	377,809	03/30/15	04/07/15	290.2000	377,809	The TJM Partnership PLC	04/01/15	04/07/15	290.2000	377,809	Principle Markets Ltd
THE PROPER PACIFIC LLC 401K PLAN	A.P. Møller Mærsk A/S - A	7,879	03/30/15	04/07/15	15,810.0000	7,879	Bastion Capital London Ltd	04/01/15	04/07/15	15,810.0000	7,879	Trance Services Ltd
THE PROPER PACIFIC LLC 401K PLAN	A.P. Møller Mærsk A/S - B	8,083	03/30/15	04/07/15	16,410.0000	8,083	Bastion Capital London Ltd	04/01/15	04/07/15	16,410.0000	8,083	Treehurst Ltd
THE PROPER PACIFIC LLC 401K PLAN	Coloplast A/S - B	294,370	05/06/15	05/11/15	510.0000	294,370	Sunrise Brokers	05/08/15	05/11/15	510.0000	294,370	Treehurst Ltd